



Diene-transmissive hetero Diels–Alder reaction of cross-conjugated azatrienes with ketenes: a novel and efficient, stereo-controlled synthetic method for hexahydroquinolinones

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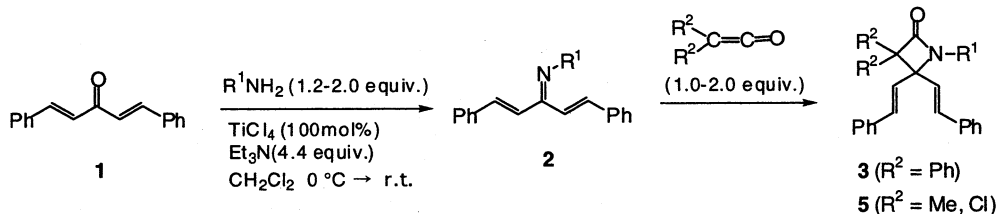
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Abstract—The cross-conjugated azatrienes, *N*-aryl-, *N*-alkyl- or *N*-dimethylamino-di β -styrylmethanimines, reacted with diphenylketene and dimethylketene at room temperature to afford [2+2] cycloadducts, while the reaction with dichloroketene produced [4+2] cycloadducts. Upon heating, the [2+2] cycloadducts underwent a [1,3] sigmatropic rearrangement giving the formal [4+2] cycloadducts. The second Diels–Alder reaction of the [4+2] mono-adducts with electron-deficient dienophiles such as tetracyanoethylene, *N*-phenylmaleimide and methyl vinyl ketone gave hexahydroquinolinone derivatives stereoselectively. The cross-conjugated azatriene bearing different substituents at β - and β' -positions also underwent the diene-transmissive hetero Diels–Alder reaction in a highly site-, regio- and stereo-selective manner. © 2002 Elsevier Science Ltd. All rights reserved.

The hetero Diels–Alder (HDA) methodology is among the most attractive and important tools for the synthesis of a wide range of six-membered heterocyclic compounds because of their potentially powerful and straightforward construction of heterocycles with high control of regio- and stereochemistry.¹ On the other hand, the diene-transmissive hetero Diels–Alder (DTHDA) reaction should offer an efficient method for synthesis of, especially, ring-fused heterocycles by performing multi-domino (hetero) DA reactions in a stereo-controlled manner.^{2–4,†}

In this context, we have recently reported the first examples of the DTHDA reaction of cross-conjugated azatrienes **2**.⁵ This process involved the initial aza DA cycloaddition of **2** with a reactive dienophile, tosyl isocyanate, followed by the second DA reaction with some representative dienophiles to give stereoselectively ring-fused pyrimidinone derivatives as bis-adducts in good yields.⁵ Unfortunately, the azatrienes **2** having an R^1 group on the nitrogen such as Ph, *p*-Tol, PhCH_2 , *i*-Pr, and a quite effective electron-releasing Me_2N group, failed to react with representative dienophiles



Scheme 1.

Keywords: diene-transmissive Diels–Alder reaction; domino/tandem reactions; cycloaddition; quinoline; nitrogen heterocycle.

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† Although the diene-transmissive Diels–Alder (DTDA) reaction is formally considered to be a set of multi-sequential DA reactions of α,ω -divinylpoly(vinylidene), the DTHDA reaction can usually be defined by two sequential (so-called tandem, domino, etc.) cycloadditions of the two final processes that involve an initial DA reaction of a cross-conjugated triene (or its equivalents) with a dienophile, followed by a second DA cycloaddition on the newly-formed, transmitted diene unit of the mono-adduct with a dienophile to give a bis-adduct, where one or more heteroatoms are contained within either a triene framework or a dienophile skeleton or both. For DTDA reactions of carbatrienes, see the literature in Ref. 2.

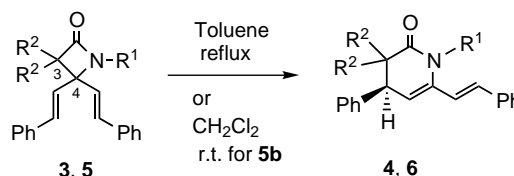
such as tetracyanoethylene, *N*-phenylmaleimide, maleic anhydride, dimethyl fumarate, dimethyl acetylenedicarboxylate, ethyl vinyl ether and styrene under, if necessary, harsh conditions and/or in the presence of a Lewis acid promoter.^{6,‡} In order to gain further insight into the cycloaddition mechanism and to extend this DTHDA methodology, we reasoned to utilize ketenes as a useful carbon–carbon dienophile in the initial cycloaddition of **2** and a new variant **9** because of their isoelectronic property with isocyanates.

When azatriene **2a** (*N*-phenyldiβ-styrylmethanimine, R¹=Ph), generated in situ from the reaction of the corresponding ketone **1** with aniline by the action of the reagents TiCl₄ and Et₃N, was allowed to react with diphenylketene at 0°C for 5 min, a 1:1-adduct was obtained in 98% yield, the structure of which was assigned to be a [2+2] cycloadduct **3a** on the basis of spectroscopic data (Scheme 1). The other aryl, benzyl, alkyl and dimethylamino-substituted R¹-azatrienes, **2b–e**, also reacted with diphenylketene to give the [2+2] cycloadducts **3b–e** in good yields. The results are summarized in Table 1. The formation of the [2+2] cycloadducts was not unexpected but, unfortunately, the diene-transmissive Diels–Alder methodology would not be applicable with the structure **3**.

Many instances are available in the literature that ketenes reacted with imines, even with conjugated imines, to afford azetidiones (β-lactams) as [2+2] cycloadducts,⁹ whereas it is also reported that selected 1-azadienes (conjugated imines) underwent [4+2] cycloaddition with ketenes.^{1,8,10,11} So, we subjected the cycloadduct **3a** to thermal reaction. When **3a** was heated in refluxing toluene for 2 h, it was found that the dihydropyridone derivative **4a** as the formal [4+2] cycloadduct was quantitatively obtained, which was presumably formed by a

[1,3] sigmatropic rearrangement with the cleavage of the C(3)–C(4) bond of the azetidione ring in **3a** (Scheme 2).¹² Similarly, the [2+2] cycloadducts **3b–e** were converted exclusively to the [4+2] cycloadducts **4b–e** (Table 1, runs 2–5). Dimethylketene generated in situ from the acid chloride precursor also reacted with the azatriene **2e**, which is relatively stable and isolable, to afford the [2+2] cycloadduct **5a** in good yield, which was similarly converted to the [4+2] cycloadduct **6a** quantitatively upon heating (run 6). However, the reaction with dichloroketene generated gave **6b** in low yield instead of **5b** even if performed at a low temperature (run 7). The periselection outcome for the latter reaction is in accord with the precedent observation that generated haloketene tends to give [4+2] cycloadducts in Diels–Alder reactions with 1-azadienes.^{9,13}

With the mono-cycloadducts (**4** and **6**) in hand, we performed the second cycloaddition with some representative electrophilic dienophiles, since the mono-cycloadducts have an aminodiene unit and hence normal electron-demanding DA reactions are anticipated to proceed smoothly. First, the reactions of **4** with a symmetrical, simple dienophile, tetracyanoethylene (TCNE), were carried out to see the π-facial selectivity. As expected, the reactions proceeded rapidly (in 5–10 min) at room temperature in CH₂Cl₂ to



Scheme 2.

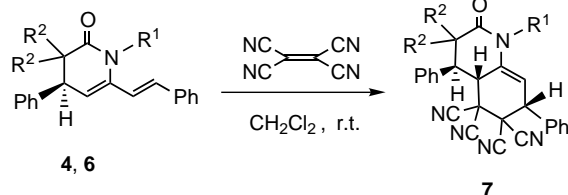
Table 1. Reaction of azatrienes **2** with ketenes and thermal conversion of [2+2]cycloadducts **3** and **5** to [4+2]cycloadducts **4** and **6**

Run	R ¹	R ²	Azatriene	[2+2]Cycloadduct	Yield (%) (1→3)	[4+2]Cycloadduct	Yield (%)
1	Ph	Ph	2a	3a	98	4a	99
2	<i>p</i> -CH ₃ OC ₆ H ₄	Ph	2b	3b	84	4b	99
3	Benzyl	Ph	2c	3c	76	4c	99
4	<i>i</i> -Pr	Ph	2d	3d	90	4d	99
5	Me ₂ N	Ph	2e	3e	91	4e	99 (93) ^a
6	Me ₂ N	Me	2e	5a	96	6a	96
7	Me ₂ N	Cl	2e	5b	–	6b	(23) ^a

^a In parentheses, yield in a one-pot reaction from **2e**.

[‡] The results were not surprising because this would be the case as often encountered by such limitation that 1-aza-1,3-butadienes are usually (inherently) less reactive as a 4π-component in DA reaction unless they bear sufficiently electron-donating or electron-withdrawing group(s) at (a) suitable position(s) of the azadiene system.^{1,7} Moreover, semi-empirical calculations (AM1) have revealed that both of the coefficients on the terminal nitrogen (1), carbon (4) and (4') atoms in the HOMO of **2** (R¹=Ph) are considerably small (N: –0.28 and C: 0.20, 0.06, respectively) in the normal electron-demanding HOMO diene-LUMO dienophile DA reaction predicted by the magnitude differences of MOs energy level separations and that the net atomic charges on the nitrogen atom (–0.16) of **2** and the cumulene carbon (0.49) of tosyl isocyanate were relatively large in the negative and positive signs, respectively.⁶ These findings suggest that the reaction with tosyl isocyanate proceeds stepwise rather than in a concerted manner.⁸ This assumption is consistent with the theoretical prediction that TCNE possessing lower LUMO energy than that of TsNCO should have reacted with **2**, if it is a concerted reaction, and with the fact that the reaction of **2** (particularly when R¹=NMe₂) with TCNE did not give any cycloadducts.

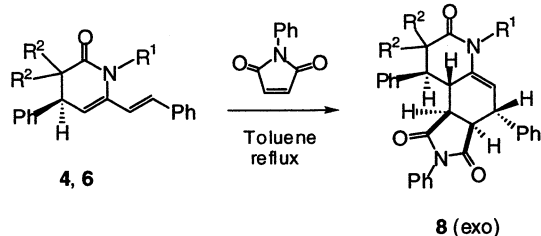
give the [4+2] cycloadducts **7**¹⁴ in excellent yields with complete π -facial selectivity (Scheme 3, Table 2). The stereochemistry of **7** suggests that the dienophile should cycloadd from the less hindered backside of the diene **4/6** (*syn* to H at the 4-position of the pyridone ring) due to the steric reason (*vide infra*). Next, we carried out the reactions with *N*-phenylmaleimide to examine the *endo*–*exo* selectivity as well as the π -facial selectivity (Scheme 4). The reactions proceeded upon heating in toluene under reflux for 6–20 h to quantitatively yield the [4+2] cycloadducts **8** with almost complete *exo* selectivity except for the case of R²=Me. The results are summarized in Table 3. The stereochemistry of **8** was determined ¹H NMR spectroscopically (Fig. 1). Particularly, a large vicinal coupling constant (J_{H_1-2} =12.4 Hz) was observed between H₁ and H₂, which indicates a *trans*-diaxial relationship between them, and hence the attack of the dienophile from the bottom side (Fig. 2). Nuclear Overhauser effect (NOE) was observed notably between H₁ and H₃, and H₂ and H₅, in a *cis*-relationship and no NOE between H₂ and H₃, and H₄ and H₅, suggesting a *trans* (diaxial) relationship and hence the *exo* addition of the dienophile. The *exo* addition was also supported by a relatively large coupling constant (8.12 Hz) between H₄ and H₅, implying a *trans*-relationship. Fig. 2 shows the *exo* and *endo* orientations. The fact that the observed vicinal coupling



Scheme 3.

Table 2. DA Reaction of **4** and **6** with TCNE to give hexahydroquinolinones **7**

Run	Diene	Cycloadduct	Yield (%)
1	4a	7a	99
2	4b	7b	99
3	4c	7c	99
4	4d	7d	99
5	4e	7e	99
6	6a	7f	94
7	6b	7g	99

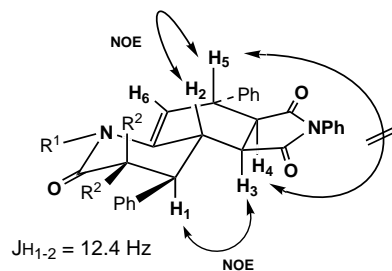
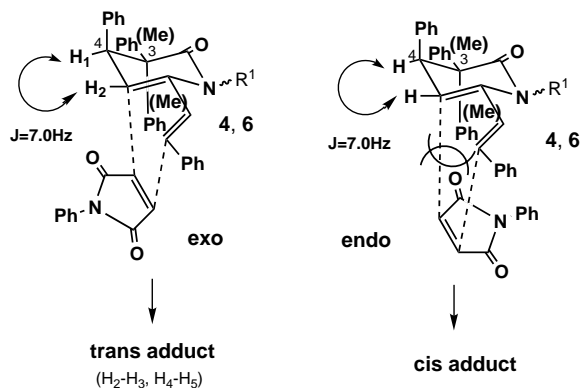


Scheme 4.

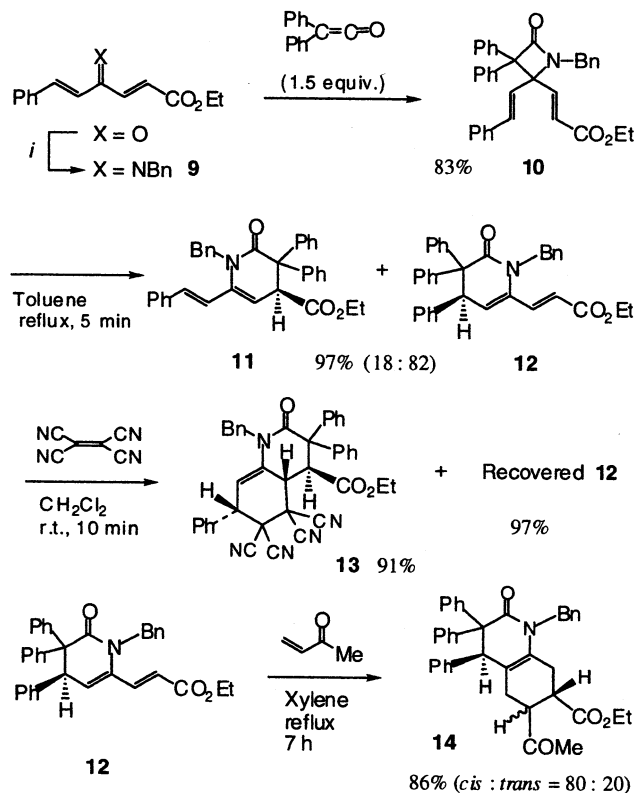
Table 3. DA Reaction of **4** and **6** with *N*-phenylmaleimide to give pyrroloquinolines **8**

Run	Diene	Cycloadduct	<i>exo</i> : <i>endo</i> ^a	Yield (%)
1	4a	8a	>95:5	99
2	4b	8b	>95:5	99
3	4c	8c	>95:5	99
4	4d	8d	>95:5	99
5	4e	8e	>95:5	99
6	6a	8f	69:31	94
7	6b	8g	>95:5	99

^a Ratio determined by ¹H NMR (300 or 400 MHz) spectroscopy. No *endo* isomers were detected for a ratio of >95:5.

Figure 1. NOE measurement of **8a** (*exo*).Figure 2. *exo* and *endo* orientations.

constant between H₁ and H₂ in the diene **4/6** was ca. 7 Hz, suggests a quasi-equatorial arrangement of H₁ and, consequently, the phenyl group at the 4-position being in quasi-axial orientation. The dienophile, therefore, cycloadded exclusively from the bottom side to avoid the steric hindrance between the phenyl group and the dienophile in attacking from the topside. In the *endo* addition, the steric repulsion with the CO moiety of the dienophile exerted by the axial phenyl or chloro group at the 3-position, would be large enough to surpass the second orbital interaction, while the less bulky methyl group would allow the *endo* addition by preference of 31% (Table 3, run 6). It is noteworthy that low *exo*–*endo* selectivity was observed in the second cycloaddition of *N*-phenylmaleimide with the initial [4+2] mono-cycloadducts of **2** with tosyl isocyanate.⁵ This is probably due to the absence of an axial substituent on the nitrogen at the 3-position in the initial cycloadducts.



Scheme 5. (i) Bn-NH₂ (2 equiv.), TiCl₄ (100 mol%), Et₃N (4.4 equiv.), CH₂Cl₂, 0°C→rt.

The new azatriene **9**, generated in situ from the corresponding ketone, reacted with diphenylketene rapidly to afford the [2+2] cycloadduct **10** in an 83% yield (Scheme 5). Heating the adduct **10** at 111°C for 5 min caused the [1,3] rearrangement to give a mixture of two pyridones **11** and **12** in 97% yield with a ratio of 18:82, which are formally the [4+2] cycloadducts of the azatriene **9** reacting at both cross-conjugated diene sites. It should be pointed out that the [4+2] cycloadduct **12** arising from the reaction at the electron-rich diene moiety of **9** is the major product. When the mixture of **11** and **12** was allowed to react with TCNE in room temp. for 10 min, the quinolone derivative **13** was obtained only as the [4+2] cycloadduct from **11** in 91% yield together with the unreacted **12** in 97% yield. Interestingly, the recovered **12** reacted with methyl vinyl ketone in refluxing xylene for 7 h giving compound **14** in 86% yield with a *cis:trans* ratio of 80:20 which was presumably formed by 1,3-H-migration of the preformed *endo* and *exo* [4+2] cycloadducts, respectively.

In conclusion, the diene-transmissive hetero Diels–Alder methodology of cross-conjugated azatrienes with ketenes provides a new entry to stereoselective synthesis of quinoline derivatives.

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14. Compound **7a**: colorless crystals, mp 195–197°C; IR (KBr):1696, 1650, 1496, 1280, 700 cm⁻¹; ¹H NMR (400 MHz/CDCl₃) δ 4.10 (ddd, *J*=1.9, 2.1, 10.9, 1H, H-2), 4.29 (dd, *J*=1.9, 4.8, 1H, H-3), 4.92 (d, *J*=10.9, 1H, H-1), 5.10 (dd, *J*=2.1, 4.8, 1H, H-4), 6.67–7.74 (m, 25H, Ar); FABMS 632 (M⁺+H, 6), 246 (17), 185 (60), 93 (100). FABHRMS calcd for C₄₃H₃₀N₅O [M⁺+H]: 632.2450. Found: 632.2443.